EN

ANNEX II

Information regarding State aid exempt under the conditions of this Regulation

**Part I**

**to be provided through the established Commission IT application as laid down in Article 11**

|  |  |
| --- | --- |
| **Aid reference** | *(to be completed by the Commission )* |
| **Member State** | ……………………………………………………………………….. |
| **Member State reference number** | ……………………………………………………………………….. |
| **Region** | **Name of the Region(s) (*NUTS[[1]](#footnote-1))*** …………………………………………………… | **Regional aid status[[2]](#footnote-2)**………………………………………..……………………………………….. |
| **Granting authority** | **Name**  | ……………………………………….. |
|  | **Postal address****Web address** | …………………………………………………………………………………… |
| **Title of the aid measure**  | ………………………………………………………………………… |
| **National legal basis (Reference to the relevant national official publication)** | ………………………………………………………………………….………………………………………………………………………….…………………………………………………………………………. |
| **Web link to the full text of the aid measure**  | ………………………………………………………………………….. |
| **Type of measure**  | [ ]  **Scheme** |  |
|  | [ ]  ***Ad hoc* aid** | **Name of the beneficiary and the group[[3]](#footnote-3) it belongs to**............................................................... |
| **Amendment of an existing aid scheme or *ad hoc* aid** |  | **Commission aid reference** |
|  | [ ]  **Prolongation** | ............................................................... |
|  | [ ]  **Modification** | ……………………………………….. |
| **Duration[[4]](#footnote-4)** | [ ]  **Scheme** | dd/mm/yyyy **to** dd/mm/yyyy |
| **Date of granting[[5]](#footnote-5)**  | [ ]  ***Ad hoc* aid** | dd/mm/yyyy |
| **Economic sector(s) concerned** | [ ]  **All economic sectors eligible to receive aid**  |  |
|  | [ ]  **Limited to certain sectors: Please specify at NACE group level[[6]](#footnote-6)** | ………………………………………..………………………………………..……………………………………….. |
| **Type of beneficiary** | [ ]  **SME** |  |
|  | [ ]  **Large undertakings** |  |
| **Budget**  | **Total annual amount of the budget planned under the scheme[[7]](#footnote-7)** | **National currency… (full amounts)**……………………………………… |
|  | **Overall amount of the *ad hoc* aid awarded to the undertaking[[8]](#footnote-8)** | **National currency… (full amounts)**………………………………………. |
|  | [ ]  **For guarantees[[9]](#footnote-9)** | **National currency… (full amounts)**……………………………………… |
| **Aid instrument** | [ ]  **Grant/Interest rate subsidy** |
|  | [ ]  **Loan/Repayable advances** |
|  | [ ]  **Guarantee (where appropriate with a reference to the Commission decision[[10]](#footnote-10))**  |
|  | [ ]  **Tax advantage or tax exemption**  |
|  | [ ]  **Provision of risk finance**  |
|  | [ ]  **Other (please specify)**………………………………………………..**Indicate to which broad category below it would fit best in terms of its effect/function:**[ ]  **Grant**[ ]  **Loan**[ ]  **Guarantee**[ ]  **Tax advantage** [ ]  **Provision of risk finance** |
| [ ]  **If co-financed by EU fund(s)**  | **Name of EU fund(s):** …………………………..………………………….. | **Amount of funding(as per EU fund)**…………………………………… | **National currency… (full amounts)**…………………………………… |

**PART II**

**to be provided through the established Commission electronic notification system as laid down in Article 11**

Please indicate under which provision of the GBER the aid measure is implemented.

|  |  |  |  |
| --- | --- | --- | --- |
| **Primary Objective - General Objectives (**list) | **Objectives**(list) | **Maximum aid intensity** **in %****or Maximum annual aid amount in national currency (in full amounts)** | **SME - bonuses** **in %** |
|  Regional aid - investment aid[[11]](#footnote-11) (Art. 14)  | [ ]  Scheme | …% | …% |
| [ ]  Ad hoc aid | …% | …% |
|  Regional aid - operating aid (Art. 15) | [ ]  In sparsely populated areas (Art. 15(2)) | …% | …% |
| [ ]  In very sparsely populated areas (Art. 15(3)) | …% | …% |
| [ ]  In outermost regions (Art. 15(4)) | …% | …% |
| [ ]  Regional urban development aid (Art. 16) | …. national currency | …% |
| SME aid (Arts. 17 – 19b)  | [ ]  Investment aid to SMEs (Art. 17) | …% | …% |
| [ ]  Aid for consultancy in favour of SMEs (Art. 18) | …% | …% |
| [ ]  Aid to SMEs for participation in fairs (Art. 19) | …% | …% |
| [ ]  Aid for costs incurred by SMEs participating in community-led local development (‘CLLD’) or European Innovation Partnership for agricultural productivity and sustainability (‘EIP’) Operational Group projects (Art. 19a)  | …% | …% |
| [ ]  Limited amounts of aid to SMEs benefitting from community-led local development (‘CLLD’) or European Innovation Partnership for agricultural productivity and sustainability (‘EIP’) Operational Group projects (Art 19b)[[12]](#footnote-12) | …national currency | …% |
| Aid for European Territorial Cooperation (Arts. 20 – 20a) | [ ]  Aid for costs incurred by undertakings participating in European Territorial Cooperation projects (Art. 20) | …% | …% |
| [ ]  Limited amounts of aid to undertakings for participation in European Territorial Cooperation projects (Art. 20a)[[13]](#footnote-13) | …national currency | …% |
| SME aid - SMEs' access to finance (Arts. 21-22) | [ ]  Risk finance aid (Art. 21) | …national currency | …% |
| [ ]  Aid for start-ups (Art. 22) | …national currency | …% |
| [ ]  SME aid - Aid to alternative trading platforms specialised in SMEs (Art. 23) | …%; in case the aid measure takes the form of start-up aid: … national currency | …% |
| [ ]  SME aid - Aid for scouting costs (Art. 24) | …% | …% |
|  Aid for research, development and innovation (Arts. 25 ‑ 30) | Aid for research and develop‑ment projects (Art. 25) | [ ]  Fundamental research (Art. 25(2)(a)) | …% | …% |
| [ ]  Industrial research (Art. 25(2) b)) | …% | …% |
| [ ]  Experimental development (Art. 25(2)(c)) | …% | …% |
| [ ]  Feasibility studies (Art. 25(2)(d)) | …% | …% |
| [ ]  Aid for projects awarded a Seal of Excellence quality label (Art. 25a) | …national currency | …% |
| [ ]  Aid for Marie Skłodowska-Curie actions and European Research Council Proof of Concept actions (Art. 25b) | …national currency | …% |
| [ ]  Aid involved in co-funded research and development projects (Art. 25c) | …% | …% |
| [ ]  Aid for Teaming actions (Art. 25d) | …% | …% |
| [ ]  Investment aid for research infrastructures (Art. 26) | …% | …% |
| [ ]  Aid for innovation clusters (Art. 27) | …% | …% |
| [ ]  Innovation aid for SMEs (Art. 28) | …% | …% |
| [ ]  Aid for process and organisational innovation (Article 29) | …% | …% |
| [ ]  Aid for research and development in the fishery and aquaculture sector (Art. 30) | …% | …% |
| [ ]  Training aid (Art. 31) | …% | …% |
|  Aid for disadvantaged workers and workers with disabilities (Arts. 32‑35) | [ ]  Aid for the recruitment of disadvantaged workers in the form of wage subsidies (Art. 32) | …% | …% |
| [ ]  Aid for the employment of workers with disabilities in the form of wage subsidies (Art. 33) | …% | …% |
| [ ]  Aid for compensating the additional costs of employing workers with disabilities (Art. 34) | …% | …% |
| [ ]  Aid for compensating the costs of assistance provided to disadvantaged workers (Art.35) | …% | …% |
|  Aid for Environmental protection (Arts. 36-49)  | [ ]  Investment aid enabling undertakings to go beyond Union standards for environmental protection or increase the level of environmental protection in the absence of Union standards (Art. 36) | …% | …% |
| [ ]  Investment aid for publicly accessible recharging or refuelling infrastructure for zero and low emission road vehicles (Art. 36a) | …% | …% |
| [ ]  Investment aid for early adaptation to future Union standards (Art. 37) | …% | …% |
| [ ]  Investment aid for energy efficiency measures (Art. 38) | …% | …% |
| [ ]  Investment aid for energy efficiency projects in buildings in the form of financial instruments (Art. 39) | …national currency | …% |
| [ ]  Investment aid for high-efficiency cogeneration (Art. 40) | …% | …% |
| [ ]  Investment aid for the promotion of energy from renewable sources (Art. 41) | …% | …% |
| [ ]  Operating aid for the promotion of electricity from renewable sources (Art. 42) | …% | …% |
| [ ]  Operating aid for the promotion of energy from renewable sources in small scale installation (Art. 43) | …% | …% |
| [ ]  Aid in the form of reductions in environmental taxes under Council Directive 2003/96/EC (Art. 44 of this Regulation) | …% | …% |
| [ ]  Investment aid for remediation of contaminated sites (Art. 45) | …% | …% |
| [ ]  Investment aid for energy efficient district heating and cooling (Art. 46) | …% | …% |
| [ ]  Investment aid for waste recycling and re-utilisation (Art. 47) | …% | …% |
| [ ]  Investment aid for energy infrastructure (Art. 48) | …% | …% |
| [ ]  Aid for environmental studies (Art. 49) | …% | …% |
| [ ]  Aid schemes to make good the damage caused by certain natural disasters (Art. 50) | Maximum aid intensity | …% | …% |
| Type of natural disaster | [ ]  earthquake[ ]  avalanche[ ]  landslide[ ]  flood[ ]  tornado[ ]  hurricane[ ]  volcanic eruption[ ]  wild fire |
| Date of occurrence of the natural disaster | dd/mm/yyyy **to** dd/mm/yyyy |
| [ ]  Social aid for transport for residents of remote regions (Art. 51) | …% | …% |
| [ ]  Aid for fixed broadband networks (Art. 52) | …national currency | …% |
| [ ]  Aid for 4G and 5G mobile networks (Art. 52a) | …national currency | …% |
| [ ]  Aid for projects of common interest in the area of trans-European digital connectivity infrastructure (Art. 52b) | …national currency | …% |
| [ ]  Connectivity vouchers (Art. 52c) | …% | …% |
| [ ]  Aid for culture and heritage conservation (Art. 53) | …% | …% |
| [ ]  Aid schemes for audio-visual works (Art. 54) |  |  |
| …% | …% |
| [ ]  Aid for sport and multifunctional recreational infrastructures (Art. 55) | …% | …% |
| [ ]  Investment aid for local infrastructures (Art. 56) | …% | …% |
| [ ]  Aid for regional airports (Art. 56a) | …% | …% |
| [ ]  Aid for maritime ports (Art. 56b) | …% | …% |
| [ ]  Aid for inland ports (Art. 56c) | …% | …% |
|  Aid involved in financial products supported by the InvestEU Fund (Arts. 56d-56f) | Art. 56e | [ ]  Aid for projects of common interest in the area of trans-European digital connectivity infrastructure financed under Regulation (EU) 2021/1153 or awarded a Seal of Excellence quality label under that Regulation (Art. 56e(2)) | …national currency | …% |
| [ ]  Aid for investments in fixed broadband networks to connect only certain eligible socio-economic drivers (Art. 56e(3)) | …national currency | …% |
| [ ]  Aid for energy generation and energy infrastructure (Art. 56e(4)) | …national currency | …% |
| [ ]  Aid for social, educational, cultural and natural heritage infrastructure and activities (Art. 56e(5)) | …national currency | …% |
| [ ]  Aid for transport and transport infrastructures (Art. 56e(6)) | …national currency | …% |
| [ ]  Aid for other infrastructures (Art. 56e(7)) | …national currency | …% |
| [ ]  Aid for environmental protection, including climate protection (Art. 56e(8)) | …national currency | …% |
| [ ]  Aid for research, development, innovation and digitalisation (Art. 56e(9)) | …national currency | …% |
| [ ]  Aid in the form of financing supported by the InvestEU Fund provided to SMEs or small mid-caps (Art 56e(10)) | …national currency | …% |
| [ ]  Aid involved in intermediated commercially-driven financial products supported by the InvestEU Fund (Art. 56f) | …national currency | …% |

1. NUTS - Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2. [↑](#footnote-ref-1)
2. Article 107(3)(a) TFEU (status 'A'), Article 107(3)(c) TFEU (status 'C'), unassisted areas i.e. areas not eligible for regional aid (status 'N'). [↑](#footnote-ref-2)
3. An undertaking for the purposes of rules on competition laid down in the Treaty and for the purposes of this Regulation is any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed. The Court of Justice has ruled that entities which are controlled (on a legal or on a de facto basis) by the same entity should be considered as one undertaking. [↑](#footnote-ref-3)
4. Period during which the granting authority can commit itself to grant the aid. [↑](#footnote-ref-4)
5. Determined in line with Article 2, point 27 of the Regulation. [↑](#footnote-ref-5)
6. NACE Rev. 2 - Statistical classification of Economic Activities in the European Community. Typically, the sector shall be specified at group level. [↑](#footnote-ref-6)
7. In case of an aid scheme: Indicate the annual overall amount of the budget planned under the scheme or the estimated tax loss per year for all aid instruments contained in the scheme. [↑](#footnote-ref-7)
8. In case of an *ad hoc* aid award: Indicate the overall aid amount/tax loss. [↑](#footnote-ref-8)
9. For guarantees, indicate the (maximum) amount of loans guaranteed. [↑](#footnote-ref-9)
10. Where appropriate, reference to the Commission decision approving the methodology to calculate the gross grant equivalent, in line with article 5(2)(c) of the Regulation. [↑](#footnote-ref-10)
11. In the case of ad hoc regional aid supplementing aid awarded under aid scheme(s), please indicate both the aid intensity granted under the scheme and the intensity of the ad hoc aid. [↑](#footnote-ref-11)
12. According to Article 11(1), reporting on aid granted under Article 19b is not mandatory. Reporting on such aid is, therefore, merely optional. [↑](#footnote-ref-12)
13. According to Article 11(1), reporting on aid granted under Article 20a is not mandatory. Reporting on such aid is, therefore, merely optional. [↑](#footnote-ref-13)