EN

ANNEX III

Information regarding State aid exempt under the conditions of this Regulation

**Part I**

**to be provided through the established Commission IT application as laid down in Article 12**

|  |  |
| --- | --- |
| **Aid reference** | *(to be completed by the Commission )* |
| **Member State** | ……………………………………………………………………….. |
| **Member State reference number** | ……………………………………………………………………….. |
| **Region** | **Name of the Region(s) (*NUTS[[1]](#footnote-1))*** …………………………………………………… | **Regional aid status[[2]](#footnote-2)**………………………………………..……………………………………….. |
| **Granting authority** | **Name**  | ……………………………………….. |
|  | **Postal address****Web address** | …………………………………………………………………………………… |
| **Title of the aid measure**  | ………………………………………………………………………… |
| **National legal basis (Reference to the relevant national official publication)** | ………………………………………………………………………….………………………………………………………………………….…………………………………………………………………………. |
| **Web link to the full text of the aid measure**  | ………………………………………………………………………….. |
| **Type of measure**  | [ ]  **Scheme** |  |
|  | [ ]  ***Ad hoc* aid** | **Name of the beneficiary and the group[[3]](#footnote-3) it belongs to**............................................................... |
| **Amendment of an existing aid scheme or *ad hoc* aid** |  | **Commission aid reference** |
|  | [ ]  **Prolongation** | ............................................................... |
|  | [ ]  **Modification** | ……………………………………….. |
| **Duration[[4]](#footnote-4)** | [ ]  **Scheme** | dd/mm/yyyy **to** dd/mm/yyyy |
| **Date of granting[[5]](#footnote-5)**  | [ ]  ***Ad hoc* aid** | dd/mm/yyyy |
| **Economic sector(s) concerned** | [ ]  **All economic sectors eligible to receive aid**  |  |
|  | [ ]  **Limited to certain sectors: Please specify at NACE group level[[6]](#footnote-6)** | ………………………………………..………………………………………..……………………………………….. |
| **Type of beneficiary** | [ ]  **SME** |  |
|  | [ ]  **Large undertakings** |  |
| **Budget**  | **Total annual amount of the budget planned under the scheme[[7]](#footnote-7)** | **National currency… (full amounts)**……………………………………… |
|  | **Overall amount of the *ad hoc* aid awarded to the undertaking[[8]](#footnote-8)** | **National currency… (full amounts)**………………………………………. |
|  | [ ]  **For guarantees[[9]](#footnote-9)** | **National currency… (full amounts)**……………………………………… |
| **Aid instrument (Art.**Error! Reference source not found.**)** | [ ]  **Grant/Interest rate subsidy** |
|  | [ ]  **Loan/Repayable advances** |
|  | [ ]  **Guarantee (where appropriate with a reference to the Commission decision[[10]](#footnote-10))**  |
|  | [ ]  **Tax advantage or tax exemption**  |
|  | [ ]  **Provision of risk finance**  |
|  | [ ]  **Other (please specify)**……………………………………………….. |
| [ ]  **If co-financed by EU fund(s)**  | **Name of EU fund(s):** …………………………..………………………….. | **Amount of funding(as per EU fund)**…………………………………… | **National currency… (full amounts)**…………………………………… |

**Part II**

**to be provided through the established Commission IT application as laid down in Article 12**

**Please indicate under which provision of the GBER the aid measure is implemented.**

|  |  |  |  |
| --- | --- | --- | --- |
| **Primary objective - General Objectives (**list) | **Objectives**(list) | **Maximum aid intensity** **in %****or Maximum annual aid amount in national currency (in full amounts)** | **SME - bonuses** **in %** |
|  Regional aid - investment aid[[11]](#footnote-11) (Art. 14)  | [ ]  Scheme | …% | …% |
| [ ]  Ad hoc aid | …% | …% |
|  Regional aid - operating aid (Art. 15) | [ ]  Transport costs of goods in eligible areas (Art. 15(2)(a)) | …% | …% |
| [ ]  Additional costs in outermost regions (Art. 15(2)(b)) | …% | …% |
| [ ]  Regional urban development aid schemes (Art. 16) | …. national currency | …% |
| [ ]  SME aid - investment aid (Art. 17 ‑ 18 ‑ 219)  | …% | …% |
|  SME aid - SMEs' access to finance  | [ ]  Risk finance aid (Art. 20) | …national currency | …% |
| [ ]  Aid for start-ups (Art. 21) | …national currency | …% |
| [ ]  SME aid - Aid to alternative trading platforms specialised in SMEs (Art. 22) | …%; in case the aid measure takes the form of start-up aid: … national currency | …% |
| [ ]  SME aid - Aid for scouting costs (Art. 23) | …% | …% |
|  Aid for research, development and innovation (Art. 24 ‑ 29) | Aid for research and develop‑ment projects (Art. 24) | [ ]  Fundamental research (Art. 24(2)(a)) | …% | …% |
| [ ]  Industrial research (Art. 24(2) b)) | …% | …% |
| [ ]  Experimental development (Art. 24(2)(c)) | …% | …% |
| [ ]  Feasibility studies (Art. 24(2)(d)) | …% | …% |
| [ ]  Aid for the establishment of research infrastructures (Art. 25) | …% | …% |
| [ ]  Aid for innovation clusters (Art. 26) | …% | …% |
| [ ]  Innovation aid for SMEs (Art. 27) | …% | …% |
| [ ]  Aid for research and development in the agricultural and fisheries sectors (Art. 29) | …% | …% |
| [ ]  Training aid (Art. 30) | …% | …% |
|  Employment aid - Aid for disadvantaged workers and workers with disabilities (Articles 31‑33) | [ ]  Aid for the recruitment of disadvantaged workers or for the employment of workers with disabilities in the form of wage subsidies (Articles 31 ‑ 32) | …% | …% |
| [ ]  Aid for compensating the additional costs of employing workers with disabilities (Art.33) | …% | …% |
|  Aid for Environmental protection (Articles 34-43)  | [ ]  Investment aid enabling undertakings to go beyond Union standards for environmental protection or increase the level of environmental protection in the absence of Union standards (Art. 34) | …% | …% |
| [ ]  Aid for early adaptation to future Community standards for SMEs (Art. 35) | …% | …% |
| [ ]  Environmental investment aid for energy saving measures (Art. 36) | …% | …% |
| [ ]  Aid for energy efficiency projects (Art. 37) | …national currency | …% |
| [ ]  Environmental investment aid for high-efficiency cogeneration (Art. 38) | …% | …% |
| [ ]  Environmental investment aid for the promotion of energy from renewable energy sources (Art. 39) | …national currency | …% |
| [ ]  Operating aid for the promotion of electricity from renewable energy sources (Art. 39b) | …% | …% |
| [ ]  Operating aid for the promotion of energy from renewable sources in small case installation (Art. 39c) | …% | …% |
| [ ]  Aid in the form of reductions in environmental taxes (Art. 40) | …% | …% |
| [ ]  Investment aid for remediation of contaminated sites (Art. 41) | …% | …% |
| [ ]  Investment aid for energy efficient district heating and cooling (Art. 42) | …% | …% |
| [ ]  Aid for environmental studies (Art. 43) | …% | …% |
| [ ]  Social aid for transport for residents of remote regions (Art. 45) | …% | …% |
| [ ]  Aid for broadband infrastructures (Art. 46) | …national currency | …% |
|  Aid for culture and heritage conservation (Articles 47, 48) | [ ]  Aid for culture and heritage conservation | …% | …% |
| [ ]  Aid schemes for audio-visual works | …% | …% |
| [ ]  Aid for sport and multifunctional recreational infrastructures (Art. 49) | …% | …% |
| [ ]  Aid to make good the damage caused by certain natural disasters (Art. 44) | Maximum aid intensity | …% | …% |
| Type of natural disaster | [ ]  earthquake[ ]  avalanche[ ]  landslide[ ]  flood[ ]  tornado[ ]  hurricane[ ]  volcanic eruption[ ]  wild fire |
| Date of occurrence of the natural disaster | dd/mm/yyyy **to** dd/mm/yyyy |

**Part III**

**Form for the publication of information on individual aid awards under Article 10 (1) c and for the transmission of this information to the Commission under Article 12**

|  |  |
| --- | --- |
| **Aid reference** |  |
| **Member State** |  |
| **Granting authority** | **Name**  |  |
| **Web address** |  |
| **For risk finance schemes, name of the entrusted entity, and the names of the selected financial intermediaries** |  |
| **Name of the beneficiary, VAT number and the group it belongs to** |  |
| **Type of beneficiary** | ***SME*** |  |
|  | ***Large undertaking*** |  |
| **Region in which the beneficiary is located** | **Name of the Region (*NUTS level II[[12]](#footnote-12))***  | **Regional aid status[[13]](#footnote-13)** |
| **Economic sector(s) *in which the beneficiary is active***  | ***NACE group level and short description*** |
| **Aid element,** expressed as full amount in national currency[[14]](#footnote-14) |  |
| **Aid instrument[[15]](#footnote-15)**  | **Grant/Interest rate subsidy** |  |
| **Loan/Repayable advances/Reimbursable grant**  |  |
| **Guarantee (**where appropriate with a reference to the Commission decision[[16]](#footnote-16)) |  |
| **Tax advantage or tax exemption**  |  |
| **Risk finance**  |  |
| **Other** (please specify) |  |
| **Date of granting** | dd/mm/yyyy |
| **Objective of the aid** |  |
| **legal basis,** including the implementing provisions and, where appropriate, the scheme under which the aid is granted |  |

1. NUTS - Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2. [↑](#footnote-ref-1)
2. Article 107(3)(a) TFEU (status 'A'), Article 107(3)(c) TFEU (status 'C'), unassisted areas i.e. areas not eligible for regional aid (status 'N'). [↑](#footnote-ref-2)
3. An undertaking for the purposes of rules on competition laid down in the Treaty and for the purposes of this Regulation is any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed. The Court of Justice has ruled that entities which are controlled (on a legal or on a de facto basis) by the same entity should be considered as one undertaking. [↑](#footnote-ref-3)
4. Period during which the granting authority can commit itself to grant the aid. [↑](#footnote-ref-4)
5. Determined in line with recital 36 of the Regulation. [↑](#footnote-ref-5)
6. NACE Rev. 2 - Statistical classification of Economic Activities in the European Community. Typically, the sector shall be specified at group level. [↑](#footnote-ref-6)
7. In case of an aid scheme: Indicate the annual overall amount of the budget planned under the scheme or the estimated tax loss per year for all aid instruments contained in the scheme. [↑](#footnote-ref-7)
8. In case of an *ad hoc* aid award: Indicate the overall aid amount/tax loss. [↑](#footnote-ref-8)
9. For guarantees, indicate the (maximum) amount of loans guaranteed. [↑](#footnote-ref-9)
10. Where appropriate, reference to the Commission decision approving the methodology to calculate the gross grant equivalent, in line with article 5(2)(c) of the Regulation. [↑](#footnote-ref-10)
11. In the case of *ad hoc* regional aid supplementing aid awarded under aid scheme(s), please indicate both the aid intensity granted under the scheme and the intensity of the *ad hoc* aid. [↑](#footnote-ref-11)
12. NUTS - Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2. [↑](#footnote-ref-12)
13. Article 107(3)(a) TFEU (status 'A'), Article 107(3)(c) TFEU (status 'C'), unassisted areas i.e. areas not eligible for regional aid (status 'N'). [↑](#footnote-ref-13)
14. Gross grant equivalent, or for risk finance schemes, the amount of the investment. For fiscal schemes and for schemes under Article 21 (risk finance schemes), this amount can be provided by range of EUR 2 million. [↑](#footnote-ref-14)
15. If the aid is granted through multiple aid instruments, the aid amount shall be provided by instrument [↑](#footnote-ref-15)
16. Where appropriate, reference to the Commission decision approving the methodology to calculate the gross grant equivalent. [↑](#footnote-ref-16)